

COPY

Attorney Docket No. 7636-0013.10

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: 6/4/01By: Robert L. Brackmeyer

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Laus, et al.

SERIAL No.: 09/402,845

FILED: April 10, 1998

FOR: COMPOSITION AND METHOD FOR
INDUCING AN IMMUNE RESPONSE
AGAINST TUMOR-RELATED ANTIGENS

EXAMINER: Ungar

ART UNIT: 1642

Declaration Under 37 CFR §1.821

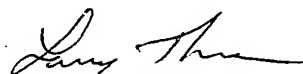
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I, Larry Thrower, declare and affirm as follows:

1. I am an agent for the applicant.
2. The floppy disc which accompanies this Declaration contains the required sequence listing.
3. The sequence listing recorded on said disc matches the hard copy of the sequence listing accompanying this Declaration.
4. The present submission contains no new matter relative to the application as originally filed.

Respectfully submitted,

Date: June 4, 2001Larry Thrower
Registration No. 47,994Correspondence Address:
Customer No. 22918

COMBINED DECLARATION AND POWER OF ATTORNEY
(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER
7636-0013.10

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOSITION AND METHOD FOR INDUCING AN IMMUNE RESPONSE AGAINST TUMOR-RELATED ANTIGENS

the specification of which (check only one item below):

- ☐ is attached hereto.
- ☐ was filed as United States application
Serial No. _____
on _____
and was amended
on _____, (if applicable)
- ☒ was filed as PCT international application
Number PCT/US98/07232
on 10 April 1998
and was amended under PCT Article 19
on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56(a).

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PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC §119	
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
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COMBINED DECLARATION AND POWER OF ATTORNEY (CONTINUED)

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ATTORNEY'S DOCKET NUMBER
7636-0013.10PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35
U.S.C. § 120:**U.S. APPLICATIONS**

STATUS (Check one)

U.S. APPLICATION NUMBER

U.S. FILING DATE

PATENTED

PENDING

ABANDONED

60/043,301

11 April 1997

X

PCT APPLICATIONS DESIGNATING THE U.S.

PCT APPLICATION NO.

PCT FILING DATE

U.S. SERIAL NUMBERS
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Linda R. Judge 42,702

Judy M. Mohr 38,563

Michael L. Gencarella P44,703

LeeAnn Gorthey 37,337

Michael T. Gabrik 32,896

Send Correspondence to:

Dehlinger & Associates
P.O. Box 60850
Palo Alto, CA 94306

Direct Telephone Calls to:

Peter J. Dehlinger
(650) 324-0880

201	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Laus	Reiner	
	RESIDENCE & CITIZENSHIP	CITY	STATE OF FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Bellevue	Washington	Germany
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		4733 154 th Pl., SE	Bellevue	WA, 98006 US
202	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Ruegg	Curtis	L.
	RESIDENCE & CITIZENSHIP	CITY	STATE OF FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Redwood City	California	USA
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		826 Shepard Way	Redwood City	CA 94062 US
203	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Shapero	Michael	H.
	RESIDENCE & CITIZENSHIP	CITY	STATE OF FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Redwood City	California	US
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		2449 Carson Street	Redwood City	CA 94061 US
204	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Yang	Demao	
	RESIDENCE & CITIZENSHIP	CITY	STATE OF FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Mountain View	California	China
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		1921 Rock St., #16	Mountain View	CA 94043 US

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203	SIGNATURE OF INVENTOR 204
		<i>Michael Shapero</i>	PLEASE SIGN & DATE
DATE	DATE	DATE	DATE
		FEB 16 2000	

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(Includes Reference to PCT International Applications)

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COMBINED DECLARATION AND POWER OF ATTORNEY (CONTINUED)

(Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER
7636-0013.10**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. §120:****U.S. APPLICATIONS**

STATUS (Check one)

U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
60/043,301	11 April 1997			X

PCT APPLICATIONS DESIGNATING THE U.S.

PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.Peter J. Dehlinger 28,006
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Michael L. Gencarella P44,703LeeAnn Gorthey 37,337
Michael T. Gabrik 32,896

Send Correspondence to:

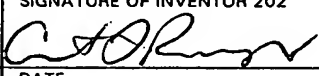
Dehlinger & Associates
P.O. Box 60850
Palo Alto, CA 94306

Direct Telephone Calls to:

Peter J. Dehlinger
(650) 324-0880

201	FULL NAME OF INVENTOR	FAMILY NAME Laus	FIRST GIVEN NAME Reiner	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY Bellevue	STATE OF FOREIGN COUNTRY Washington	COUNTRY OF CITIZENSHIP Germany
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 4733 154th Pl., SE	CITY Bellevue	STATE & ZIP CODE/COUNTRY WA, 98006 US
202	FULL NAME OF INVENTOR	FAMILY NAME Ruegg	FIRST GIVEN NAME Curtis	SECOND GIVEN NAME L.
	RESIDENCE & CITIZENSHIP	CITY Redwood City	STATE OF FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP USA
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 826 Shepard Way	CITY Redwood City	STATE & ZIP CODE/COUNTRY CA 94062 US
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	POST OFFICE ADDRESS	POST OFFICE ADDRESS 2449 Carson Street	CITY Redwood City	STATE & ZIP CODE/COUNTRY CA 94061 US
204	FULL NAME OF INVENTOR	FAMILY NAME Yang	FIRST GIVEN NAME Demao	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY Mountain View	STATE OF FOREIGN COUNTRY California	COUNTRY OF CITIZENSHIP China
	POST OFFICE ADDRESS	POST OFFICE ADDRESS 1921 Rock St., #16	CITY Mountain View	STATE & ZIP CODE/COUNTRY CA 94043 US

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SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202 	SIGNATURE OF INVENTOR 203	SIGNATURE OF INVENTOR 204
DATE	DATE 1/29/00	DATE	DATE

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COMBINED DECLARATION AND POWER OF ATTORNEY (CONTINUED)

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ATTORNEY'S DOCKET NUMBER

7636-0013.10

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. § 120:

U.S. APPLICATIONS

STATUS (Check one)

U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
60/043,301	11 April 1997			X

PCT APPLICATIONS DESIGNATING THE U.S.

PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

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Send Correspondence to:

Dehlinger & Associates
P.O. Box 60850
Palo Alto, CA 94306

Direct Telephone Calls to:

Peter J. Dehlinger
(650) 324-0880

201	FULL NAME OF INVENTOR	FAMILY NAME Laus	FIRST GIVEN NAME Reiner	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY Bellevue	STATE OF FOREIGN COUNTRY Washington	COUNTRY OF CITIZENSHIP Germany
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